

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Joerg U. Ferchau; Jose A. Carreon; John C. Ahrens; Michael J. McKay

Assignee: Information Security Systems and Services Inc.

Title: Method and Apparatus for Validating Integrity of Software CFIVED

Serial No.: 09/899,359 Filing Date: July 5, 2001

Examiner: Unknown Group Art Ùnit: Unknown Technology Center 21 00

Docket No.: M-9912 US

Newport Beach, California October 3, 2001

COMMISSIONER FOR PATENTS Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
  - 2. a representation that a search has been made, other than as described above; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

**EXPRESS MAIL LABEL NO:** 

EV004869260US

Respectfully submitted,

Attorney for Applicant(s)

Reg. No. 46,231

re Kim

LAW OFFICES OF SKJERVEN MORRILL MacPHERSON LLP

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Serial No. 09/899,359

Sheet 1 of 2

U.S. Department of Commerce, Patent and Trademark Office						et No.:	Serial No.	:	
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PINFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicant(s):			
(Use several sheets if necessary)						Atty Docket No.:  M-9912 US  Applicant(s):  Joerg U. Ferchau; Jose A. Carreon; John C. Ahrens; Michael J. McKarren; Filing Date:  Group:			
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PATENT &			July 5, 200	July 5, 2001		Unknown			
			U.S.	Patent Documents					
*Examiner Initial	Document Number		Date	Name	Class	Subclass	Filing I If Approp		
	AA	4,118,789	10/03/78	Casto et al.	364	900	06/06/	77	
	AB	4,458,315	07/03/84	Uchenick	364	200	02/25/82		
	AC	4,654,792	03/31/87	Thomas	364	200	04/30/84		
	AD	4,685,055	08/04/87	Thomas	364	200	07/01/85		
	AE	5,379,342	01/03/95	Arnold et al.	380	2	01/07/93		
	AF	5,421,006	05/30/95	Jablon et al.	395	575	04/20/94		
	AG	5,754,761	05/19/98	Willsey	395	186	08/29/97		
	AH	5,745,669	04/28/98	Hugard et al.	395	182.01	06/21/96		
	AI	5,812,662	09/22/98	Hsu et al.	380	4	12/18/95		
	AJ	5,893,910	04/13/99	Martineau et al.	707	10	01/04/96		
	AK	5,937,063	08/10/99	Davis	380	4	09/30/	96	
	<u> </u>		Foreig	n Patent Documents					
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	AL								
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		OTHER	ART (Including	Author, Title, Date, Per	tinent Pages, Et	tc.)			
	AQ	Tripwire, Inc. web page, <a href="http://www.tripwire.com">http://www.tripwire.com</a> , copyright 2001 Tripwire, Inc., printed no later than 08/24/01							
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Examiner		·	Date Consider	ed					

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Sheet 2 of 2

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OF V			Atty Docket No.: Serial No.:  M-9912 US  Applicant(s):  Joerg U. Ferchau; Jose A. Carreon;  John C. Abrens: Michael I. Markey.						
INFOR	N DISCLOSURE	STATEMENT B	Applicant(s	TYED					
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Par			Filing Date	:	Group:	<sup>m</sup> er 2100			
CATENT B. T				July 5, 200		Unknown			
			U.S. I	Patent Documents					
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA	5,944,821	08/31/99	Angelo	713	200	07/11/96		
	AB	5,958,051	09/28/99	Renaud et al.	713	200	01/09/97		
	AC	5,987,123	11/16/99	Scott et al.	380	4	07/03/96		
	AD	5,987,134	11/16/99	Shin et al.	380	25	02/24/97		
	AF	6,006,328	12/21/99	Drake	713	200	07/12/96		
	AF	6,021,491	02/01/00	Renaud	713	179	11/27/96		
	AG	6,185,678 B1	02/06/01	Arbaugh et al.	713	2	10/02/98		
	AH	RE 36,417	11/30/99	Perelson et al.	395	183.14	10/17/96		
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	AJ								
	AK								
	•		Foreign	Patent Documents					
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